



Code of Conduct to regulate, monitor and report trading by insiders

Version: 1.0

ONMOBILE - CODE OF CONDUCT TO REGULATE, MONITOR AND REPORT TRADING BY INSIDERS

Regulation 9 of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, require every listed company to formulate a code of conduct to regulate, monitor and report trading by its employees and other connected persons towards achieving compliance with these regulations. Accordingly, to ensure adherence with all laws and regulations, OnMobile Global Limited (hereinafter referred to as 'the Company') hereby notifies that the following code to be known as '**On Mobile Global Limited - Code of Conduct to Regulate Monitor and Report Trading by Insiders**' is to be followed by all employees and other connected persons in order to prevent insider trading while dealing in the securities of the Company.

1. Code

The code shall be called '**On Mobile Global Limited - Code of Conduct to Regulate Monitor and Report Trading by Insiders**'. This code shall come into force with effect from May 15, 2015. This Code of Conduct shall come into effect from May 15, 2015 and this revised Code shall supersede the existing code effective from the said date.

2. Definitions

- a) '**Act**' means the Securities and Exchange Board of India Act, 1992
- b) '**Board**' means the Securities and Exchange Board of India
- c) '**Company**' means **OnMobile Global Limited**
- d) **Compliance Officer**

"Compliance Officer" means any senior officer, designated so and reporting to the board of directors or head of the organization in case board is not there, who is financially literate and is capable of appreciating requirements for legal and regulatory compliance under these regulations and who shall be responsible for compliance of policies, procedures, maintenance of records, monitoring adherence to the code for the preservation of unpublished price sensitive information, monitoring of trades and the implementation of the codes specified in these regulations under the overall supervision of the board of directors of the listed company or the head of an organization, as the case may be.

- e) **Connected person**

"Connected person," means any person who has a connection with the company that is expected to put him in possession of unpublished price sensitive information and who is or has during the six months prior to the concerned act been associated with a company, directly or indirectly, in any capacity including by reason of frequent communication with its officers or by being in any contractual, fiduciary or employment relationship or by being a director, officer or an employee of the company or holds any position including a professional or business relationship between himself and the company whether temporary or permanent, that allows such person, directly or indirectly, access to unpublished price sensitive information or is reasonably expected to allow such access.

Persons falling within the following categories shall be **deemed to be connected persons** unless the contrary is established –

- a. an immediate relative of connected persons; or

- b. a holding company or associate company or subsidiary company; or
- c. an intermediary as specified in section 12 of the Act or an employee or director thereof; or
- d. an investment company, trustee company, asset management company or an employee or director thereof; or
- e. an official of a stock exchange or of clearing house or corporation; or
- f. a member of board of trustees of a mutual fund or a member of the board of directors of the asset management company of a mutual fund or is an employee thereof; or
- g. a member of the board of directors or an employee, of a public financial institution as defined in section 2 (72) of the Companies Act, 2013; or
- h. an official or an employee of a self-regulatory organization recognized or authorized by the Board; or
- i. a banker of the company; or
- j. a concern, firm, trust, Hindu undivided family, company or association of persons wherein a director of a company or his /her immediate relative or banker of the company, has more than ten per cent. of the holding or interest;

f) **“Director”** means a member of the Board of Directors of the Company

g) **“Designated persons”** comprises of

- i. Promoters of the Company
- ii. Board of Directors of the Company
- iii. Employees belonging to Job Band- PM6 and above and their direct reports.
- iv. All employees in the Finance and Accounts Department, Legal and Secretarial Department above the rank of Manager.

h) **“Employee”** means every employee of the Company (whether working in India or abroad)

i) **Generally Available Information**

"Generally available information" means information that is accessible to the public on a non-discriminatory basis;

j) **Immediate relative**

“Immediate relative” means a spouse of a person, and includes parent, sibling, and child of such person or of the spouse, any of whom is either dependent financially on such person, or consults such person in taking decisions relating to trading in securities;

k) **Insider**

"Insider" means any person who is:

- i. a connected person; or
- ii. in possession of or having access to unpublished price sensitive information;

- l) **“Key Managerial personnel”** in relation to a company means:
- i. the Chief Executive Officer or the Managing Director or the Whole Time Director or the Manager;
 - ii. the Chief Financial Officer;
 - iii. the Company Secretary

m) Promoter

"Promoter" shall have the meaning assigned to it under the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009 or any modification thereof.

- n) **‘Securities’** shall have the same meaning assigned to it under the Securities Contracts (Regulation) Act, 1956 or any modifications thereof except units of mutual fund.

Securities include –

- i. Shares, scrips, stocks, bonds, debentures, debenture stock or other marketable securities of a like nature in or of the Company;
- ii. Such other instruments as may be declared by the Central Government to be securities; and
- iii. Rights or interests in securities.

o) Takeover Regulations

“Takeover regulations” means the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and any amendments thereto;

- p) **‘Trading’** means and includes subscribing, buying, selling, dealing, or agreeing to subscribe, buy, sell, deal in any securities, and "trade" shall be construed accordingly;

- q) **‘Trading day’** means a day on which the recognized stock exchanges are open for trading;

r) Unpublished price sensitive information

"Unpublished price sensitive information" means any information, relating to a company or its securities, directly or indirectly, that is not generally available which upon becoming generally available, is likely to materially affect the price of the securities and shall, ordinarily including but not restricted to, information relating to the following: –

- i. financial results;
- ii. dividends;
- iii. change in capital structure;
- iv. mergers, de-mergers, acquisitions, delisting's, disposals and expansion of business and such other transactions;
- v. changes in key managerial personnel; and
- vi. material events in accordance with the listing agreement

3. Restriction on communication or procurement of unpublished price sensitive information.

The following provisions are intended to cast an obligation on all insiders who are in possession of unpublished price sensitive information to handle such information with care and to deal with such information strictly on a need-to-know basis.

- 1) No insider shall communicate, provide, or allow access to any unpublished price sensitive information, relating to the company or securities listed or proposed to be listed, to any person including other insiders except where such communication is in furtherance of legitimate purposes, performance of duties or discharge of legal obligations.
- 2) No person shall procure from or cause the communication by any insider of unpublished price sensitive information, relating to the company or securities listed or proposed to be listed, except in furtherance of legitimate purposes, performance of duties or discharge of legal obligations.
- 3) An unpublished price sensitive information may be communicated, provided, allowed access to or procured, in connection with a transaction that would:–

- i. entail an obligation to make an open offer under the takeover regulations where the board of directors of the company is of informed opinion that the proposed transaction is in the best interests of the company;

Note: This provision is intended to acknowledge the need for communicating and allowing access to or procuring unpublished price sensitive information for substantial transactions.(example: takeovers, mergers and acquisitions involving trading in securities and change in control).

- ii. not attract the obligation to make an open offer under the takeover regulations but where the Board of directors of the company is of informed opinion that the proposed transaction is in the best interests of the company and the information that constitute unpublished price sensitive information is disseminated to be made generally available at least two trading days prior to the proposed transaction being effected in such form as the board of directors may determine.

Note: This provision is intended to permit communicating and allowing access to or procuring unpublished price sensitive information for transactions that do not demand an open offer obligation under the takeover regulations provided the Board of Directors would cause public disclosure of such information well before the proposed transaction to rule out any information irregularity in the market.

- 4) For the purpose of (3) above, the Board of directors shall require the parties to execute agreements to contract confidentiality and non-disclosure obligations on the part of such parties and such parties shall keep information so received confidential, except for the purpose mentioned above in sub-regulation (3), and shall not otherwise trade in securities of the company when in possession of unpublished price sensitive information.

4. Conditions for trading when in possession of unpublished price sensitive information.

1) No insider shall trade in securities that are listed or proposed to be listed on a stock exchange when in possession of unpublished price sensitive information. However, the insider may prove his /her innocence by demonstrating the circumstances including the following: –

- i. the transaction is an off-market inter-se transfer between promoters who were in possession of the same unpublished price sensitive information without being in breach of this Code and both parties had made a conscious and informed trade decision;
- ii. in the case of non-individual insiders: –
 - a. the individuals who were in possession of such unpublished price sensitive information were different from the individuals taking trading decisions and such decision-making individuals were not in possession of such unpublished price sensitive information when they took the decision to trade; and
 - b. appropriate and adequate arrangements were in place to ensure that these regulations are not violated and no unpublished price sensitive information was communicated by the individuals possessing the information to the individuals taking trading decisions and there is no evidence of such arrangements having been breached;
- iii. the trades are pursuant to a trading plan elaborated in Para 5 below.

2) In the case of connected persons, the onus of establishing, that they were not in possession of unpublished price sensitive information, shall be on such connected persons and in other cases, the onus would be on the Board (i.e. SEBI)

5. Trading Plans

- 1) An insider shall be entitled to formulate a trading plan and present it to the compliance officer for approval and public disclosure pursuant to which trades may be carried out on his /her behalf in accordance with such plan.
- 2) Such trading plan shall:–
 - i. not entail commencement of trading on behalf of the insider earlier than six months from the public disclosure of the plan;
 - ii. not entail trading for the period between the twentieth trading day prior to the last day of any financial period for which results are required to be announced by the issuer of the securities and the second trading day after the disclosure of such financial results;
 - iii. entail trading for a period of not less than twelve months;
 - iv. not entail overlap of any period for which another trading plan is already in existence;
 - v. set out either the value of trades to be effected or the number of securities to be traded along with the nature of the trade and the intervals at, or dates on which such trades shall be effected; and

- vi. not entail trading in securities for market abuse.
- 3) The compliance officer shall review the trading plan to assess whether the plan would have any potential for violation of these regulations and shall be entitled to seek such express undertakings as may be necessary to enable such assessment and to approve and monitor the implementation of the plan
- 4) The trading plan once approved shall be irrevocable and the insider shall mandatorily have to implement the plan, without being entitled to either deviate from it or to execute any trade in the securities outside the scope of the trading plan.

However, the implementation of the trading plan shall not be commenced if any unpublished price sensitive information in possession of the insider at the time of formulation of the plan has not become generally available at the time of the commencement of implementation and in such event the compliance officer shall confirm that the commencement ought to be deferred until such unpublished price sensitive information becomes generally available information so as to avoid violation of the provisions of this Code.

- 5) Upon approval of the trading plan, the compliance officer shall notify the plan to the stock exchanges on which the securities are listed.

6. Trading window

- (i) Designated persons may execute trades subject to compliance with these regulations.
- (ii) The trading window will be closed when the compliance officer determines that a designated person or class of designated persons can reasonably be expected to have possession of unpublished price sensitive information.
- (iii) Designated persons and their immediate relatives shall not trade in securities when the trading window is closed.
- (iv) The trading window may be re-opened after 48 hours after the unpublished sensitive information in question becomes generally available. The trading window shall also be applicable to any person having contractual or fiduciary relation with the Company, such as auditors, accountancy firms, law firms, analysts, consultants, etc., assisting or advising the company.

7. (1) Pre-clearance

- i. When the trading window is open, trading by designated persons shall be subject to pre-clearance by the compliance officer, if the value of the proposed trades is above the threshold as defined in the Code. The approval of the Compliance Officer has to be sought by submitting the pre-clearance and undertaking form in the manner prescribed as per **Annexure I**.
- ii. No designated person shall apply for pre-clearance of any proposed trade if such designated person is in possession of unpublished price sensitive information even if the trading window is open.

(2) Time-frame for completion of buy/ sale after approval of pre-clearance

- i. All designated persons and their dependents shall execute their order in respect of securities of the Company not later than seven days after the approval of pre-clearance is given. If the order is not executed within the stipulated period after the

approval is given, the insider must procure fresh pre-clearance for the trades to be executed.

- ii. All designated persons who buy or sell any number of shares of the company shall not enter into an opposite transaction (contra trade) i.e. sell or buy any number of shares during the next six months following the prior transaction. All designated persons shall also not take positions in derivative transactions in the shares of the company at any time. An undertaking in this respect has to be submitted to the Compliance Officer of the Company before entering into any transaction/trade as per **Annexure I**.

In case the sale of securities is necessitated by personal emergency, the holding period may be waived by the Compliance Officer after recording in writing his/her reasons in this regard provided that such relaxation does not violate these regulations. Should a contra trade be executed, inadvertently or otherwise, in violation of such a restriction, the profits from such trade shall be liable to be disgorged for remittance to the SEBI for credit to the Investor Protection and Education Fund administered by the SEBI under the Act.

- iii. The Designated person shall, within two days of the execution of the Trade, submit the details of such trade to the Compliance Officer in the manner prescribed in **Annexure II**. In case the transaction is not undertaken, a statement to that effect shall be mentioned in such form.

8. Security of Files

Files containing confidential information shall be kept secure by means of adequate security measures like password etc. The Compliance officer shall maintain records of all the declarations in the appropriate form given by the designated persons for a minimum period of five years.

Any insider who deals in the securities of the Company or communicates/procures any information or counsels any person dealing in securities, in contravention of this Code may be held to be guilty of insider trading and appropriate action as provided under the law would be taken.

An insider, as defined in Para 2 above, who acts in contravention of this Code shall be liable to have his/her employment or services or relationship with the Company, as the case may be, terminated. The SEBI or any other appropriate regulatory authority would also be informed of the contravention of the code so that appropriate action may be taken.

Employees of the Company who violate this Code shall also be subject to disciplinary action by the Company, which may include wage freeze, suspension, ineligibility for future participation in the Company's stock option plans etc.,

9. Disclosure of trading by 'Insiders'

- i. Every public disclosure by the insider shall be made in such form as may be specified.
- ii. Disclosures to be made by any person under these regulations, shall also include those relating to trading by such person's immediate relatives, and by any other person for whom such person takes trading decisions

10. Reporting requirements for transaction in securities

(1) Initial disclosures:

- (a) Every promoter, key managerial personnel and director of every company whose securities are listed on any recognised stock exchange shall disclose his /her holding of securities of the Company as on the date of these regulations taking effect, to the Company within thirty days of these regulations taking effect (i.e by June 15, 2015) in **Form A**
- (b) Every person on appointment as a key managerial personnel or a director of the company or upon becoming a promoter shall disclose his / her holding of securities of the company as on the date of appointment or becoming a promoter, to the company within 7 days of such appointment or becoming a promoter in **Form B**

(2) Continual Disclosures:

Every promoter, employee and director of the Company shall disclose to the Company the number of such securities acquired or disposed of within two trading days of such transaction if the value of the securities traded, whether in one transaction or a series of transactions over any calendar quarter, aggregates to a traded value in excess of Rs.1,000,000 (Rupees Ten lakhs) in **Form C**

Disclosure by the Company to the Stock Exchange(s)

Within 2 trading days of the receipt of disclosure or becoming aware of the above information, the Compliance Officer shall disclose to all Stock Exchanges on which the Company's securities are listed, the information received.

Note: The disclosure of the incremental transactions after any disclosure under Para 10(2), shall be made when the transactions effected after the prior disclosure cross the threshold limit of Rs.1,000,000

(3) Disclosure by other connected persons:

The Company, may at its discretion require any 'other connected person or class of connected persons' to make disclosures of holdings and trading in securities of the Company in such form and at such frequency as the Board may determine to monitor compliance with these regulations (refer **Form D**)

(4) Format for reporting transaction in securities:

The Board of Directors will suggest or modify from time to time the formats of the 'Forms' for making application for pre-clearance, undertaking about contra trade, reporting of trades executed, reporting of decisions not to trade after securing pre-clearance, recording of reasons for such decisions and for reporting level of holdings in securities at such intervals as may be determined as being necessary by the Board to monitor compliance with these regulations. The suggestive forms are given below in the list of Annexures.

11. Role of Compliance Officer

- (i) The compliance officer shall report to the Board of Directors and shall provide reports to the Chairman of the Audit Committee or to the Chairman of the Board of Directors at such frequency as may be stipulated by the Board of Directors.
- (ii) The compliance officer shall confidentially maintain a list of such securities as a 'restricted list' which shall be used as the basis for approving or rejecting applications for pre-clearance of trades.

- (iii) The compliance officer shall review the trading plan to assess whether the plan would have any potential for violation of these regulations and shall be entitled to seek such express undertakings as may be necessary to enable such assessment and to approve and monitor the implementation of the plan.
- (iv) Prior to approving any trades, the Compliance Officer shall be entitled to seek declarations to the effect that the applicant for pre-clearance is not in possession of any unpublished price sensitive information.

12. Penalty for contravention of the code of conduct

- 1) Every Specified Person shall be individually responsible for complying with the provisions of the Code (including to the extent the provisions hereof are applicable to his/her dependents).
- 2) Any Specified Person who trades in securities or communicates any information for trading in securities, in contravention of this Code may be penalised and appropriate action may be taken by the Company.
- 3) Specified Persons who violate the Code shall also be subject to disciplinary action by the Company, which may include wage freeze, suspension, ineligibility for future participation in employee stock option plans, etc.
- 4) The action by the Company shall not preclude SEBI from taking any action in case of violation of SEBI (Prohibition of Insider Trading) Regulations, 2015.

13. Miscellaneous

- 1) At every quarterly meeting of the Board of Directors/Audit Committee meeting, a list of the securities dealt with during the quarter along with the dates and the names of the designated persons would be placed before the Board of Directors for its perusal.
- 2) The Board of Directors shall be empowered to make such additional rules as may be required to administer the code.
- 3) The Board of Directors shall be empowered to nominate any person as the Compliance Officer, who shall report to the Managing Director and Chief Executive Officer.
- 4) The Compliance Officer shall be responsible for setting forth the policies/procedures for monitoring adherence to the code for the preservation of "Price Sensitive Information", pre-clearing of transactions in securities by designated persons' and their dependents trades (directly or through respective department heads as decided by the Company), monitoring of trades and the implementation of the code of conduct under the overall supervision of the Board or any of its committees of the Company.
- 5) The Compliance Officer shall maintain a record of the designated persons and any changes made in the list of designated persons.

ANNEXURE – I

OnMobile Global Limited

Regd. Office: Tower #1, 94/1C & 94/2, Veerasandra, Attibele Hobli, Anekal Taluk, Electronic City, Phase 1,
Bangalore- 560100

INSIDER TRADING

Pre- clearance and undertaking for trading in securities of OnMobile Global Limited by Designated persons

The Compliance Officer,
OnMobile Global Limited

I, son/daughter/wife of hereby request your consent for selling/buying (number of shares proposed to be dealt with) Shares of OnMobile maintained with (name of depository with which account is maintained). Upon receiving your approval in this regard, I undertake to execute the order in respect of the aforementioned shares within one week of receiving the approval, failing which I undertake to obtain fresh approval in this regard.

I hereby execute the following undertaking in favour of the Company:

1. I do not have any access or have not received any “price sensitive information” at the time of signing this undertaking.
2. I will inform the Compliance Officer in case I receive any “price sensitive information” after the signing of this undertaking and before I execute the transaction. I will also refrain from dealing in the Company stock till such information is made public.
3. I confirm that I am not entering into an opposite transaction in the shares of the Company (contra trade) of my earlier transactions in the preceding six months period (*excluding exercise of ESOPs and the sale of shares so acquired*)
4. I will not enter into an opposite transaction (contra trade) during the next six months following this transaction (*excluding exercise of ESOPs and the sale of shares so acquired*)
5. I have not contravened the code of conduct for prevention of insider trading as specified by the Company.
6. Details of my immediate previous purchase transaction are as follows:

Name, PAN, Demat Account number	Date of immediate previous purchase of shares of the Company	Mode of acquisition (e.g.: Secondary market purchase/ESOP exercise)	No. of shares purchased

7. Details of my immediate previous sale transaction are as follows:

Name, PAN, Demat Account number	Date of immediate previous sale of shares of the Company	Source of shares (e.g.: Secondary market purchase/ESOP exercise)	No. of shares sold

8. I certify that the above is true and correct.

To be filled up by Designated Persons

Approval of the Compliance Officer

Signature : _____

Signature: _____

Name : _____

Name : _____

Emp. No : _____

Date : _____

Job Band : _____

Date : _____

ANNEXURE II**OnMobile Global Limited**

Regd. Office: Tower #1, 94/1C & 94/2, Veerasandra, Attibele Hobli, Anekal Taluk, Electronic City, Phase 1,
Bangalore- 560100

INSIDER TRADING**Reporting of Trades executed**

The Compliance Officer,
OnMobile Global Limited

I..... son/daughter/wife of hereby inform that:

1. I have not bought/sold/subscribed any securities of the Company
2. I have bought/sold/subscribed to _____ securities on _____ (date).

(*strike off whichever is not applicable)

Name, PAN, Demat Account number	No. of securities traded	Bought/sold/ subscribed	Price (Rs.)	Date of transaction/trade

3. I certify that the above is true and correct and not contravened any provisions of the code of conduct for prevention of insider trading or any applicable laws/Regulations as specified by the Company for effecting the said transaction.

To be filled up by Designated Persons

Approval of the Compliance Officer

Signature : _____

Signature: _____

Name : _____

Name : _____

Emp. No : _____

Date : _____

Job Band : _____

Date : _____

FORM A

**SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (1) (a) read with Regulation 6 (2) – Initial disclosure to the company]**

Name of the company: OnMobile Global Limited

ISIN of the company: INE809I01019

Details of Securities held by Promoter, Key Managerial Personnel (KMP), Director and other such persons as mentioned in Regulation 6(2)

Name, PAN, CIN/DIN & address with contact nos.	Category of Person (Promoters/ KMP / Directors/immediate relative to/others etc)	Securities held as on the date of regulation coming into force		% of Shareholding
		Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No.	
1	2	3	4	5

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Details of Open Interest (OI) in derivatives of the company held by Promoter, Key Managerial Personnel (KMP), Director and other such persons as mentioned in Regulation 6(2)

Open Interest of the Future contracts held as on the date of regulation coming into force			Open Interest of the Option Contracts held as on the date of regulation coming into force		
Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms
6	7	8	9	10	11

Note: In case of Options, notional value shall be calculated based on premium plus strike price of options

Name & Signature:

Designation:

Date:

Place:

FORM B

**SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (1) (b) read with Regulation 6(2) – Disclosure on becoming a
director/KMP/Promoter]**

Name of the company: OnMobile Global Limited
ISIN of the company: INE809I01019

Details of Securities held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoters/ KMP / Directors/ immediate relative to/others etc.)	Date of appointment of Director /KMP OR Date of becoming Promoter	Securities held at the time of becoming Promoter/appointment of Director/KMP		% of Shareholding
			Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No.	
1	2	3	4	5	6

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Details of Open Interest (OI) in derivatives of the company held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).

Open Interest of the Future contracts held at the time of becoming Promoter/appointment of Director/KMP			Open Interest of the Option Contracts held at the time of becoming Promoter/appointment of Director/KMP		
Contract specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract specifications	Number of units (contracts * lot size)	Notional value in Rupee terms
7	8	9	10	11	12

Note: In case of Options, notional value shall be calculated based on premium plus strike price of options

Name & Signature:

Designation:

Date:

Place:

FORM C

**SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]**

Name of the company: OnMobile Global Limited
ISIN of the company: INE809I01019

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoters/ KMP / Directors/immediate relative to/others etc.)	Securities held prior to acquisition/disposal		Securities acquired/Disposed				Securities held post acquisition/disposal	Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition / disposal (on market/public/ rights/ preferential offer / off market/ Inter-se transfer, ESOPs etc.)
		Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No. and % of shareholding	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No.	Value	Transaction Type (Buy/ Sale/ Pledge / Revoke/ Invoke)	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	From	To		
1	2	3	4	5	6	7	8	9	11	12	13	14

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
15	16	17	18	19	20	21

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature:

Designation:

Date:

Place:

FORM D (Indicative format)

**SEBI (Prohibition of Insider Trading) Regulations, 2015
Regulation 7(3) – Transactions by Other connected persons as identified by the company**

Details of trading in securities by other connected persons as identified by the company

Name, PAN, CIN/DIN, & address with contact nos. of other connected persons as identified by the company	Connection with company	Securities held prior to acquisition/disposal		Securities acquired/Disposed				Securities held post acquisition/disposal		Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition/disposal (on market/public/ rights/ Preferential offer / off market/Interse transfer, ESOPs etc.)
		Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No. and % of share holding	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No.	Value	Transaction Type (Buy/ Sale/ Pledge / Revoke /Invoke)	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.



Details of trading in derivatives by other connected persons as identified by the company

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of Contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
15	16	17	18	19	20	21

Note: In case of Options, notional value shall be calculated based on premium plus strike price of options.

Name: Signature: Place:
